

Key Connected Parties :

Principal Shareholders / Intermediary Companies

[For corporate entity(ies) with at least 10% shareholding]

[Proof of existence of each intermediary company up to the Ultimate Beneficial Owners]

- Register of Shareholders
- Certified true copy of the Certificate of Incorporation/Formation/Good Standing of the Principal Shareholders and each Intermediary Company and subsequent certificate of change of name (if any)

Directors

- Register of Directors
- Proof of identity
- Proof of residential address

Key Controllers

Authorised Signatories ***

Chief Executive Officer (CEO) /

Managing Director

Chief Financial Officer (CFO) / Head of Finance

Chief Operating Officer (COO) / Head of Operations

Managing Partner

Chairman / Deputy Chairman of the Board

Chairman of the Audit/Remuneration / Remuneration/Risk Committee, Nominee

Powers of Attorney

(the above is not an exhaustive list)

- Organisation Chart
- Proof of identity
- Proof of residential address

Direct Appointees

Direct Appointee is an individual authorised under an executed instrument of the entity to act on its behalf with respect to the banking relationship, and to appoint authorised signatories or delegate authority to others to represent the business in limited circumstances, e.g. the Company secretary. Direct Appointee is typically appointed by the Board of Directors or Key Controllers. It can be a corporate entity.

- Proof of identity
- Proof of residential address

FATCA ****

- FATCA Compliant documentation

CRS *****

- CRS Self Certification Form

* The company should be listed on any of the recognized Stock Exchanges approved by both the Bank of Mauritius and the Hong Kong Monetary Authority. Please visit <http://www.hsbc.co.mu/1/2/miscellaneous/hsbc-download-centre> for the list of recognized stock exchanges.

** At least the number of directors needed to form quorum must be present at the board meeting.

*** For Directors or any Key Controller or Direct Appointees which are corporate entities, the following copies of documents are to be submitted by these entities:

- Official document proving incorporation, registration and subsequent change of name, if any;

- Official document listing current directors such as Annual Return or Director Declaration (Certification Letter from a certified public accountant/lawyer from a FATF member/comparable jurisdiction acceptable to HSBC certifying that information contained in the Director Declaration is correct and accurate);

- Board resolution specifying the Authorised Signatories / Direct Appointees who have the power to act for them (the directors' signatures must be verified by bankers);

- List of authorised signatories' / Direct Appointee's specimen signatures which must be verified by bankers;

- CDD documents on all directors and authorised signatories of the corporate Authorised Signatory and the corporate Direct Appointee.

**** As from December 2014, the Bank requires FATCA documentation to proceed with account opening.

FATCA documentation will be required prior to activation of the Bank account.

Please click on link: <http://www.fatca.hsbc.com> for more information on FATCA.

***** **Common Reporting Standards (CRS):** In line with CRS requirements, HSBC requires additional information on its customers and



their controlling parties, as applicable, for new account opening applications.
Please click on link: www.crs.hsbc.com/en/cmb/mauritius for more information on CRS.

Important Note:

The Bank reserves the right to decline any account opening request without providing any reason.